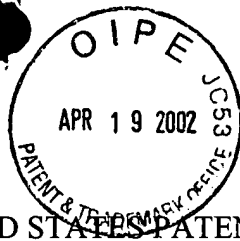


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UNITED STATES PATENT AND TRADEMARK OFFICE

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04-17-02
PATENT
#5

In re Application of: Richard E. Smalley et al.

Group Art Unit: 1754

Serial No.: 10/033,050

Filed: December 28, 2001

Title: ARRAY OF SINGLE-WALL CARBON NANOTUBES

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
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Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

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The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

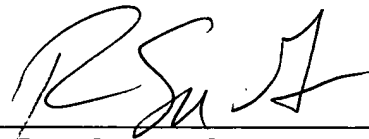
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A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

By: _____



Ross Spencer Garsson
Reg. No. 38,150

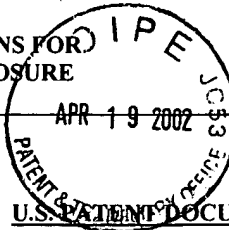
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In Place of FORM PTO-1449 (Modified)

Serial No.: 10/033,050
 Applicants: Richard E. Smalley et al.
 Filing Date: December 28, 2001
 Group: 1754
 Atty. Docket No.: 11321-P012USD5

**LIST OF PATENTS AND PUBLICATIONS FOR
 APPLICANTS' INFORMATION DISCLOSURE
 STATEMENT**



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Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						TC 1700

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ABA	EP 1 176 234 A2	12/05/1993	European			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.